



Attorney Docket No. 0756-7288

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Group Art Unit: 2822
Tetsuji YAMAGUCHI et al.	)	Examiner: I. Soward
Serial No. 10/821,197	)	<u>CERTIFICATE OF MAILING</u>
Filed: April 9, 2004	)	I hereby certify that this correspondence is
For: THIN FILM TRANSISTOR,	)	being deposited with the United States Postal
ELECTRONIC DEVICE HAVING THE	)	Service with sufficient postage as First Class
SAME, AND METHOD FOR	)	Mail in an envelope addressed to:
MANUFACTURING THE SAME	)	Commissioner for Patents, P.O. Box 1450,
	)	Alexandria, VA 22313-1450, on
	)	<u>12-8-05</u>
	)	<u>Robert M. Stampler</u>

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

The Filing Receipt received in the above-identified application has errors thereon due to Patent Office error as shown in red on the attached copy.

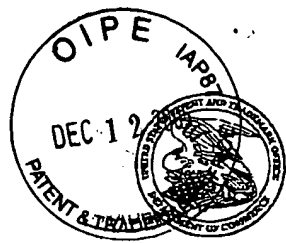
Also enclosed is a copy of the *Decision on Petition* according the filing date of April 9, 2004.

In view of the above, it is requested that a Corrected Filing Receipt be issued.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789



UNITED STATES PATENT AND TRADEMARK OFFICE

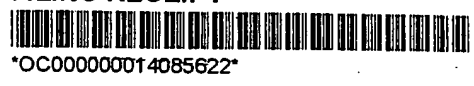
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/821,197	<del>09/01/2004</del> 04-09-2004	2822	2192	0756-7288	7	23	10

CONFIRMATION NO. 8599

31780  
ERIC ROBINSON  
PMB 955  
21010 SOUTHBANK ST.  
POTOMAC FALLS, VA 20165

FILING RECEIPT



10/18/04

Date Mailed: 10/14/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tetsuji Yamaguchi, Atsugi, JAPAN;  
Kengo Akimoto, Atsugi, JAPAN;  
Hiroki Kayoji, Tochigi, JAPAN;  
Toru Takayama, Atsugi, JAPAN;

Power of Attorney:

Eric Robinson-38285

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2003-108479 04/11/2003

If Required, Foreign Filing License Granted: 10/14/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US10/821,197**

Projected Publication Date: 01/20/2005

Non-Publication Request: No

Early Publication Request: No

**Title**

Thin film transistor, electronic device having the same, and method for manufacturing the same

**Preliminary Class**

257

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

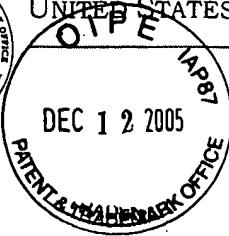
The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

ERIC ROBINSON  
PMB 955  
21010 SOUTHBANK ST.  
POTOMAC FALLS VA 20165

RECEIVED  
JUL 18 2005

BY: EL 7/18/05

COPY MAILED

JUL 14 2005

OFFICE OF PETITIONS

In re Application of :  
Yamaguchi et al. : DECISION ON PETITION  
Application No. 10/821,197 :  
Filed: April 9, 2004 :  
Attorney Docket No. 0756-7288 :

This is a decision on the petition filed on September 1, 2004 (certificate of mailing dated August 30, 2004), and resubmitted on February 16, 2005, requesting that the above-identified application be accorded a filing date of April 9, 2004.

On April 9, 2004, applicants deposited the above-identified application. On June 29, 2004, the Office mailed a Notice of Incomplete Nonprovisional Application, stating that the application was deposited without a specification and that the filing date would be the date of receipt of the specification, including at least one claim. Additionally, the Notice indicated that the statutory basic filing fee and an oath or declaration were missing.

On September 1, 2004 (certificate of mailing dated August 30, 2004), applicants submitted a Verification of Translation,<sup>1</sup> an executed Declaration and Power of Attorney, an English translation of the application, Figures 1A-7H, an Assignment/Recordation Form Cover Sheet, the statutory basic filing fee, a surcharge, claim fees, an assignment recordation fee, and the fee for filing a non-English specification. In addition, applicants submitted a copy of their

<sup>1</sup>Jun Yamada, a translator, verified that the document was a true English translation of U.S. Patent Application No. 10/821,197 filed on April 9, 2004, in Japanese.

return postcard receipt acknowledging receipt of, *inter alia*, 19 pages of a Japanese language specification, claims and abstract.

Upon review of the record, the 19 pages of the Japanese language specification, claims and abstract, deposited on April 9, 2004, have not been located among the application papers. However, the evidence is convincing that the application papers deposited on April 9, 2004, included 19 pages of a Japanese language specification, claims and abstract, which were subsequently misplaced in the United States Patent and Trademark Office.

The Office will accord a filing date to an application meeting the requirements of 35 USC 111(a), even though some or all of the application papers, including the written description and the claims, are in a language other than English and hence does not comply with 37 CFR 1.52. A review of the application file reveals that the application as filed, though in Japanese, was entitled to a filing date of April 9, 2004.

In view thereof, the petition is GRANTED. The requirement for a specification set forth in the Notice of Incomplete Nonprovisional Application was sent in error and is hereby vacated. Accordingly, the \$130.00 petition fee is unnecessary and will be refunded to Deposit Account No. 50-2280.

The Office of Initial Patent Examination is directed to **accord the above-identified application a filing date of April 9, 2004**, using the 19 pages of the Japanese language specification, claims and abstract submitted on September 1, 2004, as part of the original disclosure, and to mail a filing receipt.

Any inquiries related to this decision should be directed to the undersigned at (571) 272-3211.

*Christina Tartera Donnell*

Christina Tartera Donnell  
Senior Petitions Attorney  
Office of Petitions